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Docket No.: 740819-637

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:) Group Art Unit: 2815
Yoshihiro MORI et al.) Examiner: Yennhu B. HYUNH
Serial No. 09/942,038)
Filed: August 30, 2001)
For: CAPACITOR AND METHOD FOR) Date: October 29, 2002
FABRICATING SEMICONDUCTOR)
(To Be Amended)

Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR APPROVAL OF DRAWING CHANGE

Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached hereto is revised Fig. 6. Applicants respectfully request the Examiner to approve the drawing corrections as shown in red ink on the attached drawings and provide notice of the same to Applicants.

Respectfully submitted,



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Registration No. 35,483

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DRS/wks

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2813
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Please type a plus sign (+) inside this box → [+]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/942,038
		Filing Date	August 30, 2001
		First Named Inventor	Yoshihiro MORI et al.
		Group Art Unit	2813
		Examiner Name	Yennhu B. HUYNH
Total Number of Pages in This Submission		Attorney Docket Number	740819-637

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input checked="" type="checkbox"/> Request for Approval of Drawing Change w/one (1) sheet drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other		
			OCT 31 2002 TECHNOLOGY CENTER 2800 RECEIVED	
			OCT 29 2002 TRADEMARK OFFICE 8050	
			Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey L. Costellia (Reg. No. 35,483) Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	October 29, 2002

CERTIFICATE OF MAILING

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Type or printed name	
Signature	Date _____

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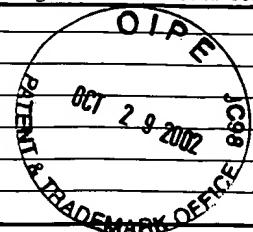
FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 110.00)

Complete if Known

Application Number	09/942,038
Filing Date	August 30, 2001
First Named Inventor	Yoshihiro MORI et al.
Examiner Name	Yennhu B. HUYNH
Group Art Unit	2813
Attorney Docket No.	740819-637



METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2380**

Deposit Account Name **Nixon Peabody LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	740	2001 370 Utility filing fee	
1002	330	2002 165 Design filing fee	
1003	510	2003 255 Plant filing fee	
1004	740	2004 370 Reissue filing fee	
1005	160	2005 80 Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	36	-20** =	16 X 18 =	288.00
Independent Claims		-3** =		0
Multiple Dependent				0

Large Entity Fee Code	Small Entity Fee Code	Fee Description (\$)
1202	18	2202 9 Claims in excess of 20
1201	84	2201 42 Independent claims in excess of 3
1203	280	2203 140 Multiple dependent claim, if not paid
1204	84	2204 42 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 288.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English transaction	
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	110.00
1252	400	2252	200 Extension for reply within second month	
1253	920	2253	460 Extension for reply within third month	
1254	1,440	2254	720 Extension for reply within fourth month	
1255	1,960	2255	980 Extension for reply within fifth month	
1401	320	2401	160 Notice of Appeal	
1402	320	2402	160 Filing a brief in support of an appeal	
1403	280	2403	140 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive - unavoidable	
1453	1,280	2453	640 Petition to revive - unintentional	
1501	1,280	2501	640 Utility issue fee (or reissue)	
1502	460	2502	230 Design issue fee	
1503	620	2503	310 Plant issue fee	
1460	130	1460	130 Petitions to the Commissioner	
1807	50	1807	50 Processing fee under 37 CR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	740	2809	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	2810	370 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	740	2801	370 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 398.00)

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SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Jeffrey L. Costellia	Registration No. (Attorney/Agent)	35,483	Telephone	703/770-9300
Signature				Date	October 29, 2002